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*BAR CTHER THAN D D **RED STERED PATENT ADENTS

December 13, 2000

WRITER'S DIRECT NUMBER: (202) 371-2582 INTERNET ADDRESS: PLACKMAN & SKGL.COM

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/631.638; Filed: August 2, 2000

For: Process for the Recovery of Organic Acids

Inventors: Moore and Sanborn

Our Ref: 1533.0980001/SRL/PAJ

Sir:

In reply to the "Notice to File Missing Parts of Non-Provisional Application." dated October 2, 2000. Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
- 2. Petition for Extension of Time under 37 C.F.R. § 1.136 (in duplicate);
- 3. Copy of the Notice to File Missing Parts:
- 4. Original Declaration, executed by Kevin M. Moore and Alexandra J. Sanborn:
- 5. An original executed Power of Attorney from Assignee:

Commissioner for Patents December 13, 2000 Page 2

- 6. Certificate Under 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached:
- 7. Check No. 29770 in the amount of \$1,400.00 to cover:

\$710.00 Filing fee for patent application:

\$450.00 Excess claim fee: \$130.00 Late filing fee:

\$110.00 One month extension of time fee: and

8. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Peter A. Jackman

Attorney for Applicants

Registration No. 45,986

PAJ:aye Enclosures

P: USERS PJACKMAN 1533 098 1 pto ntfmp trn SKGF Rev. 4 27 00

Under the Paperwork Reduction Act of 1931 Populars are required to FEE TRANSMITTAL for FY 2001 DEC 1 3 2000

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)1.400.00

respond to a collection of information unless it displays a valid OMB control number						
Complete if Known						
Application Number	09/631,638					
Filing Date	August 2, 20000					
First Named Inventor	Moore					
Examiner Name	To Be Assigned					
Group Art Unit	1633					
Attorney Docket No.	1533.0980001/SRL/PAJ					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		TIONAL Entity		Entity				
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee C	Description	Fee paid	
Deposit Account Name Sterne, Kessler, Goldstein & Fox Pll C	105	130	205	65	Surcharge - late filing	fee or oath	130 00	
Charge Any Additional Fee Required Under 37 CFR §8 1.16 and 1.17		50	227	25	Surcharge - late provision	I filing fee or cover sheet		
		130	139	130	Non-English specif			
Applicant claims small entity status See 37 CFR 1-27		2,520	14-	2,520	For filing a request for ex parte reexamination			
		920*	112	920*	Requesting publication action	er		
2. 🖾 Payment Enclosed:	113	1,840*	113	1.840*				
Check Credit card Money Order Other *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1 2 and 3 below to Deposit Account No 19-0036	115	110	215	55	Extension for reply w	rithin first month	110 00	
FEE CALCULATION	116	390	216	195	Extension for reply within second month			
I. BASIC FILING FEE	117	890	217	445	Extension for reply w	ithin third month		
Large Entity Small Entity	118	1,390	218	695	Extension for reply w	thin fourth month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,890	228	945	Extension for reply w	ithin fifth month		
101 710 201 355 Utility filing fee <u>710.00</u>	119	310	219	155	Notice of Appeal			
106 320 206 160 Design filing fee	120	310	220	155	Filing a brief in support of an appeal			
107 490 207 245 Plant filing fee	121	270	221	135	Request for oral hearing			
108 710 208 355 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable			
	141	1,240	241 620 Petition to revive - unintentional					
SUBTOTAL (1) (\$) 710.00	142	1,240	242	620	Utility issue fee (or reissue)			
	143	440	243	220	Design issue fee			
	144	600	244	300	Plant issue fee			
	122	130	122	130	Petitions to the Commissioner			
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	123	130	123	130	Petitions related to provisional applications			
Total Claims 45 - 20** = 25 X 18 = 450 00	126	180	126	180	Submission of Information Disclosure Stmt			
Indep. Claims 3 - 3** = 0 X = 0.00	581	40	481	40	Recording each patent assignment per property (times number of properties)			
Multiple Dependent = =	146	710	246	355	Filing a submission after final rejection (37 CFR 1 129(a))			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	149	710	249	355	(37 CFR 1.129(b))			
103 18 203 9 Claims in excess of 20	179	710	279	355	Request for Continued Examination (RCE)			
102 80 202 40 Independent claims in excess of 3	169	900	169	900	Request for expedited examination of a design			
104 270 204 135 Multiple dependent claim					application			
108 80 209 40 **Reissue independent claims over original patent	Other fee (specify)							
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fee (specify)							
SUBTOTAL (2) (5) 450 00	*Reduced by Basic Filing Fee Paid				SURTOTAL (2) (5: 240.00			
** or number previously paid, if greater, For Reissues, see above SUBMITTED BY Complete (if analicable)								
December No.							· · · · · · · · · · · · · · · · · · ·	
Name (Print/Type) Peter A Jackman Registration No Attorney/Agent. 45 986 Telephone					202-371-2600			
Signature						Date	12/13/00	



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2020

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APELICATION N; MBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09 631,638

08 02/2000

Kevin M. Moore

1533.0980001 SRL PAJ

Sterne Kessler Goldstein & Fox PLLC Attorneys at Law Suite 600 1100 New York Avenue NW Washington, DC 20005-3934



Date Mailed: 10/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$450.
 - \$450 for 25 total claims over 20.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1270.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE